



AF/15w 1764  
AA

Docket No. 3495-7000US3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): C. KIRKBRIDE, et al. Group Art Unit: 1764  
Serial No.: 09/987,932 Examiner: J. LEUNG  
Filed: November 16, 2001  
For: PROCESS AND APPARATUS FOR CONVERTING OIL SHALE OR TAR SANDS TO OIL

INFORMATION DISCLOSURE STATEMENT

Mail Stop \_\_\_\_\_  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449.

A. Copy(ies) of these references:

are attached.  
 were filed in related application U.S. Serial No(s) \_\_\_\_\_, filed \_\_\_\_\_, respectively.  
 are not required since the application was filed on or after June 30, 2003, and the disclosed references are U.S. Patents or Publications. see MPEP, 8<sup>th</sup> Edition, Revision No. 2, May 2004, Section 609, Part III A(2)(A).

B. Fees

No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since:  
 This document is being filed within three (3) months of the filing date of the application  
 This document is being concurrently filed with the above-identified application  
 This document is being concurrently filed with an Request for Continued Examination (RCE)  
 This document is being filed prior to a first Office Action  
 This document is being filed before the mailing date of a final action or a notice of allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e).

A fee is due for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the receipt of a first office action but before the mailing date of a final action or a notice of allowance (where there has been no prior final action).

A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) and the fee due under 37 C.F.R. §1.17(p) which is enclosed.

A check for the requisite fee of \$180 under 37 C.F.R. §§1.17(h) and 1.17(p) is enclosed.

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## C. Certifications

- I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

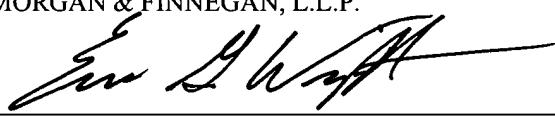
## D. Explanation/Translation of Non-English References

- No explanation of relevancy is required since the attached references are accompanied by  a Search Report  Communication which was cited in a corresponding  PCT or  Foreign counterpart application.
- For each of the items listed on the attached Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is also enclosed.
- For each of the items listed on the attached Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
- The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4503, Order No. 3495-7000US3. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

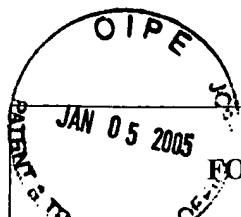
Dated: January 5, 2005

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## FORM PTO-1449

## INFORMATION DISCLOSURE CITATION

Attorney Docket: 3495-7000US3	Serial No.: 09/987,932
Applicant: C. KIRKBRIDE, et al.	
Filing Date: November 16, 2001	Group Art Unit: 1764

## U.S. PATENT DOCUMENTS

Examiner Initial		Patent Number	Publication Date	Name	Class	Sub-Class	Filing Date
	AA	4,003,821	01/18/77	Weil, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Patent Number	Publication Date	Country	Class	Sub-Class	Translation
	AL						<input type="checkbox"/> Yes <input type="checkbox"/> No
	AM						<input type="checkbox"/> Yes <input type="checkbox"/> No
	AN						<input type="checkbox"/> Yes <input type="checkbox"/> No
	AO						<input type="checkbox"/> Yes <input type="checkbox"/> No
	AP						<input type="checkbox"/> Yes <input type="checkbox"/> No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, etc.)

AR	
AS	
AT	

Examiner	Date Considered
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EXAMINER:	Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.
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